

"This will be a reading project not a course."

- Goals:
- i) Learn to communicate mathematics (particularly combinatorics)
  - ii) In Tao's words, "going from pre-rigorous to rigorous to post-rigorous."
  - iii) Expect to get acquainted with the process of reading research papers.

Who is this UDGRP right for?

→ Anyone who is at least interested in combinatorics, as it mingles very well with every other branch of mathematics.

And as this is a reading project, we can work out the details about what subfield of combinatorics you can read up on, based on your other mathematical interests.

Prerequisites: At times a lot, but for now none. That's the best part, combinatorics assumes little to no prior knowledge.

- Other details:
- i) For any book, contact me or try Libgen
  - ii) Feel free to discuss any other research papers that you come across.
  - iii) Get ready for a lot of random facts
  - iv) For details visit: [Enumerative Combinatorics UDGRP :: Hrishik Koley](#)

What are you all interested in?

- Prob → Probabilistic
- NT → Additive
- LA, RA ⇒ fundamental
- Geometry → Geometric

[A Course in Enumeration]

Topological, Analytic, Geometric

- Algebraic Combinatorics [Primer of Analytic Number Theory]

- Measurable Combinatorics → Measure Theory, Ergodic Theory, Dynamical Systems

What are you all aware of till now?

1) Power Series: They are infinite series of the form —

$$f(x) = \sum_{n=0}^{\infty} a_n (x-c)^n$$

[You can think of it as an infinite polynomial]

Example: i) Geometric series:  $f(x) = 1 + x + x^2 + \dots$

$$= \sum_{n=0}^{\infty} x^n = \frac{1}{1-x}; |x| < 1$$

ii) Taylor series:  $f(x) = \sum_{n=0}^{\infty} \frac{f^{(n)}(c)}{n!} (x-c)^n$ ,

where  $f^{(n)}(c)$  is the  $n$ -th derivative of  $f(x)$  at  $x=c$

2) Generating functions give the closed form for power series.

But what is their purpose?

→ Generating functions store an infinite sequence in a power series, that is expressed in a closed form.

Example: Take the fibonacci sequence, where the  $n$ th term is  $F_n$

So,  $f(x) = \sum_{n=0}^{\infty} F_n x^n$  encodes the fibonacci sequence in a power series, where

It is also used to give a closed form function for recurrence relations.

3) Too many words, huh. Let's try an example.

$$a_{n+1} = 2a_n + 1, \text{ with } a_0 = 0, \text{ for } n \geq 0$$

Writing down the first few terms of the sequence, we get —

$$0, 1, 3, 7, 15, 31, \dots$$

Blindly guessing we get that any term  $a_n = 2^n - 1$

But that's not what we will do. We will use generating functions.

$$A(x) = \sum_{n \geq 0} a_n x^n$$

$$\left\{ \begin{aligned} \sum_{n \geq 0} a_{n+1} x^n &= a_1 + a_2 x + a_3 x^2 + \dots \\ &= \left\{ (a_0 + a_1 x + a_2 x^2 + a_3 x^3 + \dots) - a_0 \right\} / x \end{aligned} \right.$$

$$\begin{aligned}
 \omega \left\{ \begin{aligned}
 & n \geq 0 \\
 & = \left\{ (a_0 + a_1 x + a_2 x^2 + a_3 x^3 + \dots) - a_0 \right\} / x \\
 & = \frac{A(x)}{x} \omega \\
 & \sum_{n \geq 0} (2a_n + 1) x^n = 2A(x) + \sum x^n = 2A(x) + \frac{1}{1-x} \omega \\
 & \frac{A(x)}{x} = 2A(x) + \frac{1}{1-x} \Rightarrow A(x) = \frac{1}{(1-x)(1-2x)}
 \end{aligned} \right.
 \end{aligned}$$

#### 4) Methodology:

Given: a recurrence formula that is to be solved by the method of generating functions.

1. Make sure that the set of values of the free variable (say  $n$ ) for which the given recurrence relation is true, is clearly delineated.
2. Give a name to the generating function that you will look for, and write out that function in terms of the unknown sequence (e.g., call it  $A(x)$ , and define it to be  $\sum_{n \geq 0} a_n x^n$ ).
3. Multiply both sides of the recurrence by  $x^n$ , and sum over all values of  $n$  for which the recurrence holds.
4. Express both sides of the resulting equation explicitly in terms of your generating function  $A(x)$ .
5. Solve the resulting equation for the unknown generating function  $A(x)$ .
6. If you want an exact formula for the sequence that is defined by the given recurrence relation, then attempt to get such a formula by expanding  $A(x)$  into a power series by any method you can think of. In particular, if  $A(x)$  is a rational function (quotient of two polynomials), then success will result from expanding in partial fractions and then handling each of the resulting terms separately.

5) A harder example to try is the fibonacci sequence.

$$F_{n+1} = F_n + F_{n-1} ; \text{ for } n \geq 1, F_0 = 0, F_1 = 1$$

$$F(x) = \sum_{n \geq 1} F_n x^n$$

$$\begin{aligned}
 \sum_{n \geq 1} F_{n+1} x^n &= \sum_{n \geq 1} F_n x^n + \sum_{n \geq 1} F_{n-1} x^n \\
 \Rightarrow \frac{F(x) - x}{x} &= F(x) + xF(x)
 \end{aligned}$$

$$\Rightarrow F(x) = \frac{x}{1-x-x^2}$$

6) What if we have a recurrence relation for a function dependent on two variables  $n$  &  $k$ .

Ex:  $f(n, k) = f(n-1, k) + f(n-1, k-1)$ ;  $f(n, 0) = 1$

$$B_n(x) = \sum_{k \geq 0} f(n, k) x^k$$

$$\sum_{k \geq 1} f(n, k) x^k = \sum_{k \geq 1} f(n-1, k) x^k + x \sum_{k \geq 1} f(n-1, k-1) x^{k-1}$$

$$\Rightarrow B_n(x) - 1 = (B_{n-1}(x) - 1) + x B_{n-1}(x)$$

$$\Rightarrow B_n(x) = (1+x) B_{n-1}(x) = (1+x)^2 B_{n-2}(x)$$

$$\Rightarrow B_n(x) = (1+x)^n$$



$m \times n$   
 $2 \times 1$

$$H_n(x) = \sum a_m x^m$$

↳ no. of tilings for  $m \times n$ , where  $n$  is fixed

- 7) You all know the meaning of  $\binom{n}{k}$  → Given  $n$  objects choose  $k$
- 8) Now what about  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  → Given  $\{1, 2, \dots, n\}$ , ways to partition into  $k$  many classes.

We call this the Stirling number of 2<sup>nd</sup> kind.

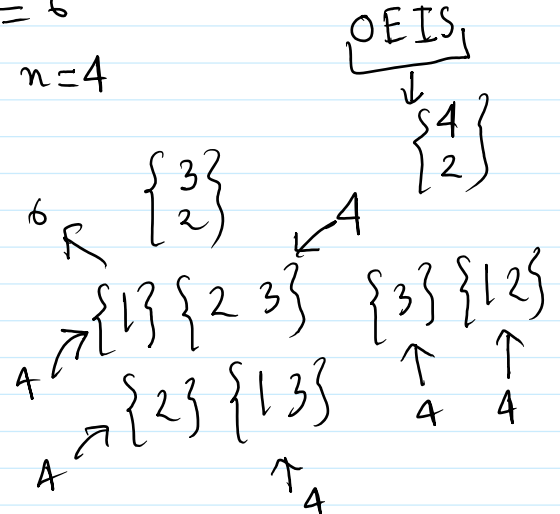
Ex:  $\left\{ \begin{matrix} 4 \\ 2 \end{matrix} \right\} = 7$

$\{1\}\{234\}$ ;  $\{2\}\{134\}$ ;  $\{3\}\{124\}$ ;  $\{4\}\{123\}$ ;  $\{12\}\{34\}$ ;  
 $\{13\}\{24\}$ ;  $\{14\}\{23\}$  → 7 (count for yourself)

9)  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = \left\{ \begin{matrix} n-1 \\ k-1 \end{matrix} \right\} + k \left\{ \begin{matrix} n-1 \\ k \end{matrix} \right\}$   $\cong 2 \times 3 = 6$   $n=4$

$\left\{ \begin{matrix} 3 \\ 1 \end{matrix} \right\} = 1$

$\left\{ \begin{matrix} 4 \\ 2 \end{matrix} \right\} = 1 + 6$



10) The degenerate values:

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = 0 ; \text{ if } \underline{k > n} \text{ or } \underline{n < 0} \text{ or } \underline{k < 0}$$

$$\left\{ \begin{matrix} n \\ 0 \end{matrix} \right\} = 0 \text{ if } \underline{n \neq 0}, \quad \left\{ \begin{matrix} 0 \\ 0 \end{matrix} \right\} = 1$$

11) Getting to the generating function we have 2 choices for a single variable one.

$$B_k(x) = \sum_n \left\{ \begin{matrix} n \\ k \end{matrix} \right\} x^n$$

Use (9), to get -

$$B_k(x) = x B_{k-1}(x) + kx B_k(x)$$

$$\Rightarrow B_k(x) = \frac{x}{1-kx} B_{k-1}(x)$$

$$\boxed{= \frac{x^k}{(1-x)(1-2x)\dots(1-kx)}} \\ = \sum_n \left\{ \begin{matrix} n \\ k \end{matrix} \right\} x^n$$

$$A_n(y) = \sum_k \left\{ \begin{matrix} n \\ k \end{matrix} \right\} y^k$$

$$\begin{aligned} A_n(y) &= \sum_k \left\{ \begin{matrix} n-1 \\ k-1 \end{matrix} \right\} y^k + \sum_k k \left\{ \begin{matrix} n-1 \\ k \end{matrix} \right\} y^k \\ &= \underline{y A_{n-1}(y)} + \underline{\left( y \frac{d}{dy} \right) A_{n-1}(y)} \\ &= y(1 + D_y) A_{n-1}(y) \end{aligned}$$

$$\Rightarrow A_n(y) = (y + y D_y)^n 1$$

What does this mean?

$$\begin{aligned} A_1(y) &= (y + y D_y) A_0(y) = (y + y D_y) 1 = \underline{y} \\ A_2(y) &= \underline{(y + y D_y) A_1(y)} = \underline{(y + y D_y) y} = y^2 + y \cdot 1 \\ &= \underline{y^2 + y} \end{aligned}$$

$$\begin{aligned} A_3(y) &= (y + y D_y) A_2(y) = (y + y D_y) (y^2 + y) \\ &= y^3 + y^2 + 2y^2 + y \\ &\quad \quad \quad \underline{\quad \quad \quad 3 \cdot 2 \cdot 2} \end{aligned}$$

$$= y^3 + y^2 + 2y^2 + y$$
$$= \underbrace{y^3 + 3y^2 + y}$$

generatingfunctionology — Herbert S. Wilf

### 1) Definition of vector spaces

### 2) Determinants of matrices

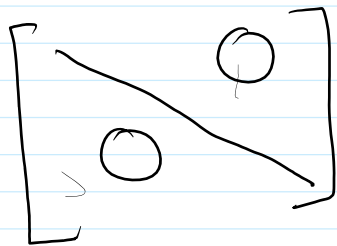
$$\pi = \begin{pmatrix} 1 & 2 & \dots & n-1 & n \\ 2 & 3 & \dots & n & 1 \end{pmatrix} \quad \pi = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}$$

$\text{sign}(\pi) = +1$  if it can be expressed as prod of even many transposition  
 $-1$  " " " " " " as prod of odd many transpo.

$$\det(A) = \sum_{\pi \in S_n} \text{sign}(\pi) a_{1,\pi(1)} a_{2,\pi(2)} \dots a_{n,\pi(n)} \quad ; \quad A_{n \times n}$$

$$a_{11} a_{22} - a_{12} a_{21} = \det \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \quad \begin{matrix} \text{id, } \pi = (1,2) \\ \begin{bmatrix} 1 & 2 \\ 1 & 2 \end{bmatrix} \begin{bmatrix} 1 & 2 \\ 2 & 1 \end{bmatrix} \end{matrix}$$

### 3) Smith-normal form of matrices



$$a_{ij} = \begin{cases} 0 & i \neq j \\ \neq 0 & i = j \end{cases}$$

$$\underbrace{d_{ii} \mid d_{(i+1)(i+1)}}_1$$

### 4) Eigenvalues & Eigenvectors (I'll come back if time permits, or you can read by yourself)



1) Definition of group

2) Subgroups

3) Cyclic groups

4) Symmetric groups

5) Dihedral groups

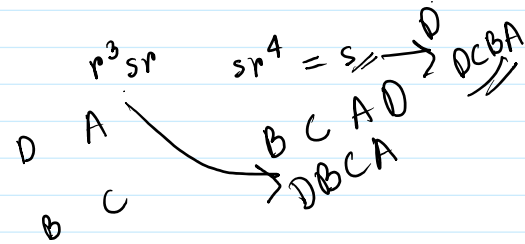
$$r, r^2, r^3, r^4$$

$$s = s_x, s_y, s_{ac}, s_{bd}$$

$$\{r, r^2, r^3, e, s, sr, sr^2, sr^3\}$$

$n \rightarrow$  sides  
 $|D_n| = 2n$

ABCD  
 DABC



6) Cosets

$$\underline{G}, H \subseteq G$$

$g \in G$   
 Left Coset:  $gH = \{gh \mid h \in H\}$

Right Coset:  $Hg = \{hg \mid h \in H\}$

Properties: i) Left cosets of  $H$  partition the group  $G$ . Each element of  $G$  belongs to exactly one coset.

ii) All left cosets of  $H$  have same size.  
 iii) Correspond to equiv. classes under the relation  $\sim$  iff  $g_1 g_2^{-1} \in H$ .

i)  $g \in G \Rightarrow g \in gH$  as  $e \in H$

$$x \in g_1 H \cap g_2 H$$

$$x = g_1 h_1 = g_2 h_2$$

$$\Rightarrow g_1 = g_2 h_2 h_1^{-1}$$

$$\left. \begin{matrix} g_1 \in g_2 H \\ g_1 H \subseteq g_2 H \\ g_2 H \subseteq g_1 H \end{matrix} \right\} g_1 H = g_2 H$$

ii)  $\phi: H \rightarrow gH$

$$\phi(h) = gh$$

$$\begin{aligned}
 \text{ii) } \phi: H &\rightarrow gH & \phi(h) &= gh \\
 \phi(h_1) &= \phi(h_2) & \Rightarrow gh_1 &= gh_2 \\
 & & \Rightarrow h_1 &= h_2 \quad \checkmark \\
 x \in gH & \Rightarrow x = gh & \text{for some } h \in H & \\
 & & \text{surjectivity } & \checkmark
 \end{aligned}$$

7) Lagrange's theorem: The no. of distinct cosets of  $H$  ( $[G:H]$  called the index of  $H$  in  $G$ ) —  
 $|G| = |H| \cdot [G:H] \rightarrow |H| \mid |G|$

Dummitt- Foote

8) Definition of homomorphisms & isomorphisms

9) Quotient group

$$\underline{\mathbb{Z}_n = \mathbb{Z}/n\mathbb{Z}} = \{0, 1, \dots, n-1\}$$

10) Direct products

$$\underline{\mathbb{Z}_m \times \mathbb{Z}_n}$$

$$m=2, n=3$$

Example:

$$\mathbb{Z}_2, \mathbb{Z}_3$$

$$\mathbb{Z}_2 = \{0, 1\}, \mathbb{Z}_3 = \{0, 1, 2\}$$

$$\mathbb{Z}_2 \times \mathbb{Z}_3 = \{ (0,0), (0,1), (0,2), (1,0), (1,1), (1,2) \}$$

$\mathbb{Z}_n$

Conway-Lagarias  $\rightarrow$  Honeycomb Tilings

"Asymptotics are the calculus of approximations"

1) Big O notation:

Given  $C > 0$  and  $n_0 \geq 0$ ,  $f(n) \in O(g(n))$  if -  
 $|f(n)| \leq C \cdot |g(n)| \quad \forall n \geq n_0$

Example:  $3n^2 + 7n + 3 \in O(n^2)$   $n^2 \in O(n^2)$   
 $n^2 \in o(n^2)$

2) Small o notation:

Given  $C > 0$  and  $n_0 \geq 0$ ,  $f(n) \in o(g(n))$  if -  
 $|f(n)| < C \cdot |g(n)| \quad \forall n \geq n_0$

Example:  $\frac{1}{n} \in o(n^2)$  as  $\frac{1}{n^2} = \frac{1}{n} \rightarrow 0$  for large  $n$ .

3) Theta ( $\Theta$ ) notation:

Given  $C_1, C_2 > 0$  and  $n_0 \geq 0$ ,  $f(n) \in \Theta(g(n))$  if -  
 $C_1 \cdot |g(n)| \leq |f(n)| \leq C_2 \cdot |g(n)| \quad \forall n \geq n_0$

Example:  $3n^2 + 5n + 2 \in \Theta(n^2)$

4) Big  $\Omega$  notation:

Given  $C > 0$  and  $n_0 \geq 0$ ,  $f(n) \in \Omega(g(n))$  if -  
 $|f(n)| \geq C \cdot |g(n)| \quad \forall n \geq n_0$

Example:  $3n^2 + 7n + 3 \in \Omega(n^2)$

5) Small  $\omega$  notation:

Given  $C > 0$  and  $n_0 \geq 0$ ,  $f(n) \in \omega(g(n))$  if -  
 $|f(n)| > C \cdot |g(n)| \quad \forall n \geq n_0$

Example:  $\frac{1}{n^2} \in \omega(\frac{1}{n})$  as  $\frac{1}{n^2} = \frac{1}{n} \rightarrow 0$  for large  $n$ .

6) Abuse of notation:  $f(n) \in O(g(n))$   
 $\Rightarrow f(n) = O(g(n))$  ✗

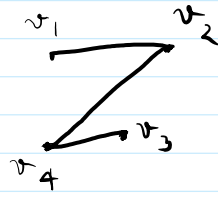
7) Properties:  $O(n^3 + 30n^2 + 67n + 3578) = O(\underline{n^3})$

7) Properties:  $O(n^3 + 30n^2 + 67n + 3578) = O(\underline{n^3})$

We ignore lower order terms as after a certain threshold the lower order terms grow at a much slower rate than the highest order term.

1) Definition

$$G = (V, E)$$

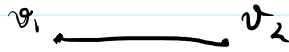


$$\begin{cases} \underline{V} = \{v_1, v_2, v_3, v_4\} \\ \underline{E} = \{(v_1, v_2), (v_1, v_3), (v_4, v_3)\} \end{cases}$$

1) Why Probability? How do we introduce randomness?  
Probabilistic Method  $\rightarrow$  Combinatorics + Prob

Random Graphs  $\rightarrow$  Probability + Graph Theory

$G_{n,p}$



$n = 5$

$5C_2$

$p = \frac{1}{2}$



Connectivity

$\binom{n}{1} \ll p$

Rich gets richer phenomenon



rich vertex = vertex with high degree

$$\mathbb{Z}_n \quad n \in \mathbb{Z}_+$$

finite abelian group  $G$ ,  $n \in \mathbb{Z}_+$

smallest  $k$  s.t. every sequence of elements of  $G$  of size  $k$  contains  $\underline{n}$  terms that sum to 0.

(1961) Erdős-Ginzburg-Ziv:

$$\mathbb{Z}/n\mathbb{Z} = \mathbb{Z}_{\underline{n}}$$

$$k = 2n - 1$$

$$\mathbb{Z}_n \quad \underline{n}$$

$$a_1, a_2, \dots, a_k$$

$$a_{i(1)} + \dots + a_{i(n)} \equiv 0 \pmod{n}$$

Cauchy-Davenport theorem: prime  $p$ ,  $\mathbb{Z}/p\mathbb{Z}$

$$A, B \subseteq \mathbb{Z}_p$$

$$|A+B| \geq \min \{p, |A|+|B|-1\}$$

$$A+B = \{a+b \pmod{p} \mid a \in A, b \in B\}$$

$$M(m, n) = 4 \prod_{k=1}^{\lfloor \frac{m}{2} \rfloor} \prod_{l=1}^{\lfloor \frac{n}{2} \rfloor} \left( \cos^2 \frac{k\pi}{m+1} + \cos^2 \frac{l\pi}{n+1} \right)$$

$\begin{matrix} \uparrow \uparrow \\ m \quad n \end{matrix}$ 
  
 $\underbrace{\hspace{10em}}_{m \times n}$ 
  
 $\underline{\underline{2 \times 1}}$

Dyck paths, Catalan numbers

A Course in Enumeration



Catalan Connection (7<sup>th</sup> chapter)

Anurab, Arkapuro → Catalan

Aayusman → Planar maps (especially graph theory)

Anshuman → Generating Functions

Priyankar → Gen-func, Partition

Subhojit → Random Graphs (focus Graph Theory)

Shankha → Additive Combi + Random Graphs